# Kentucky DUI Assessment Report for 2008

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### **Executive Summary**

In calendar year 2008, 19,624 DUI Assessments were submitted to the Kentucky Division of Behavioral Health by 98 licensed and certified DUI Assessment Programs. These records include education and treatment information for persons convicted of DUI who were assessed and referred for an intervention. Once a person met or did not meet the requirements of the treatment and/or education intervention to which they were referred, that record was considered closed and then submitted. The University of Kentucky Center on Drug and Alcohol Research is contracted by the Division of Behavioral Health to receive these records from DUI assessment programs each month and to maintain this information in a database. This report provides information on records completed from January 1, 2008 through December 31, 2008.

The typical person assessed for DUI in Kentucky in 2008 was a male in his 20's who was convicted of his first DUI. His blood alcohol level was between 0.08 and 0.15 g/dL and there was a 54% chance he met DSM-IV-TR diagnostic criteria for substance abuse or substance dependence in his lifetime. The typical offender was referred to either a 20-hour education intervention or an outpatient alcohol/drug treatment program. This finding is consistent with previous years.

- For 2008, the number of DUI Assessments submitted was 19,624 Gender:
  - o Males 79% o Females 21%
- Program referrals\* were made to:
  - o 20-Hour Education 48.7%
  - o Outpatient 48.2%
  - o IOP or Residential 2.1%
  - \*Only the highest level of care is presented for persons referred to more than one level of care
- Overall, 82% of persons were compliant with their education/treatment referrals. Persons who were non-compliant were most likely to have been under 40 years of age, have multiple DUI convictions, and met at least three DSM-IV-TR criteria for substance dependence in their lifetime. Additionally, non-compliant persons scored higher on the AUDIT and DAST screening instruments, were referred to higher levels of care, and were more likely to have been convicted in a Dry county than compliant persons. Possessing multiple risk factors appears to increase the risk of non-compliance.

- The percentage of individuals who met DSM-IV-TR criteria for substance abuse or who met three or more criteria for substance dependence in their lifetime was equivalent for males and females (53.7%).
- 1,429 (7.7%) assessments submitted were for persons under the legal drinking age and convicted of DUI under KRS 189A.010 (1) (a).
- The number of women who received DUI assessments has gradually risen in the past several years.
- DUI offenders assessed in the West-Central region of Kentucky had the highest scores on the AUDIT screening instrument which measures alcohol problems.
- Drug problems, as measured by the DAST screening instrument, were most prevalent among DUI offenders in the Eastern region of Kentucky.
- The percentage of DUI assessments conducted for multiple DUI offenders has remained relatively stable.



### **BACKGROUND**

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### **Study Overview**

The Kentucky Revised Statute 189A.040 requires Kentucky licensed drivers convicted of Driving Under the Influence (DUI) to receive an assessment by a state certified DUI assessor in a state licensed and certified DUI assessment program<sup>1</sup>. The purpose of the assessment is to determine the appropriate level of care to address the person's drug and/or alcohol problem. If treatment need is determined, a person can be referred to one or more of the following modalities: outpatient, intensive outpatient, or residential treatment. Referral may also include an education intervention or an education intervention coupled with treatment.

If a person finishes their education and/or treatment requirements consistent with his or her referral within a stipulated timeframe, the person is considered "compliant." However, if the person fails to meet the referral requirements he/she is considered "non-compliant." In either case, once a person is designated as compliant or non-compliant, that assessment record is "completed." DUI Assessment programs are required (908 KAR 1:310) to send completed assessment records each month to the University of Kentucky Center on Drug and Alcohol Research (CDAR), which receives them for the Division of Behavioral Health.

CDAR serves as the repository for state DUI assessment records and receives a disk or CD every month from each DUI assessment program. These disks and CDs contain the completed records for that month. The data is entered into a database from which this report was developed.

### **Data Description**

DUI assessment records provide demographic information about the person, results of the assessment, and education/treatment information. Demographic information includes age, gender, blood alcohol content, DUI conviction history, and county of conviction. Records include three assessment instruments:

- Alcohol Use Disorders Identification Test (AUDIT)<sup>2</sup> The AUDIT was developed by the World Health Organization as a screening method for excessive drinking. The test consists of 10 questions scored from 0 to 4. A combined score of 8 or more is considered as positive (i.e., the individual is likely to have a drinking problem).
- <u>Drug Abuse Screening Test (DAST)</u><sup>3</sup> The DAST was developed to assess the extent of drug problems. The test consists of 28 true/false questions with a score of 1 or 0. A combined score of 5 or more is considered as positive (i.e., the individual is likely to have a drug problem).
- <u>DSM-IV-TR<sup>4</sup></u> checklist for Substance Abuse and Dependence. The Diagnostic and Statistical Manual, Fourth Edition (DSM-IV-TR) was developed by the American Psychiatric Association as the standard for psychiatric diagnoses. A person who meets three (or more) of the seven dependence criteria within a 12-month period is considered as dependent on the substance in question. A person

who meets at least one of four abuse criteria is considered as abusing the substance.

Information about the intervention referral is also noted. This includes the education and/or level(s) of treatment to which the person is referred, as well as the person's compliance with that referral. The Kentucky DUI Assessment program was pilot tested by certified assessors and their input was integral in determining which assessment instruments were included.

### Sample

This report presents DUI assessment records completed between January 1, 2008 and December 31, 2008 as well as trends detailing changes in assessment results over the past several years. In 2008, a total of 19,624 records were received from 98 licensed and certified DUI Assessment Programs. It should be noted that completed assessment records in 2008 are not the same as the number of DUI convictions in 2008 because persons can be convicted, assessed, and complete their intervention in separate years.

### **Limitations**

There are several limitations to this data. First, there is the issue of incomplete, erroneous, and/or missing data. Table 1 presents the level of missing data.

2008

Table 1: Missing Data

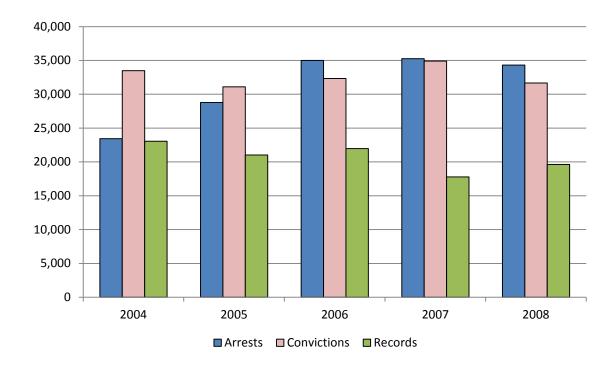
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	Missing	Percent of	
	Assessments	Cases	
Gender	6	<0.1%	
Assessment Program	4	<0.1%	
Age	1,150	5.9%	
AUDIT Score	117	0.6%	
Treatment Program	440	2.2%	
County of Conviction	150	0.8%	
Recommended Level of Care	228	1.2%	
DAST Score	228	1.2%	
Blood Alcohol Content	10,232	52.1%	

Each update to the Kentucky DUI Assessment software has successfully reduced the amount of missing data, but certain fields remain problematic. Blood Alcohol Content has the highest percent of missing cases which is largely due to individuals who either refused the test or did not remember the level.

The second limitation is that these data represent a subset of a larger, unknown number of DUIs in Kentucky. For example, in 2008 there were 34,306 DUI arrests, 31,661 DUI convictions, and 19,624 completed assessments<sup>5</sup>. This difference emphasizes the dangers in comparing frequencies of arrests, convictions, and assessments as there are different

requirements and timelines for each of these types of records. Figure 1 presents the number of DUI arrests and convictions submitted to the Kentucky State Police, and completed assessment records submitted to CDAR for 2004 through 2008.

Figure 1: DUI Arrests, DUI Convictions, and Completed Records, 2004 through 2008



This report presents assessments completed in 2008, which are independent of violation date and date of conviction. Caution should be used in comparing these data to other data. For example, a portion of the unaccounted records includes out-of-state licensees who are arrested in Kentucky but are not required to receive a Kentucky assessment. Assessments would also not be completed or submitted for persons who are incarcerated for an extensive period of time following their DUI. Persons who are arrested for DUI may plea bargain to a lesser charge or plea bargain to remove the DUI charge altogether.

A third limitation is that the data are self-reported which can be limited by recall.

A final limitation is that CDAR received a small number of data disks which were damaged. When CDAR receives an unreadable disk, those records cannot be added to the database. An unreadable disk does not affect information required by other government agencies (i.e., Administrative Office of the Courts and Transportation Cabinet) which receive paper copies. CDAR makes every effort to retrieve data when a damaged disk is received. Attempts to retrieve the data are made by phone and if needed followed by a site visit.

### **BACKGROUND**

### **Summary**

This report presents data which provides demographic information, screening results, and the type/frequency of referrals. Information on non-compliant persons who are at high risk for recidivism is also provided. Finally, data on Mental Health/Mental Retardation (MHMR) regions, Division of Behavioral Health (DBH) regions, and trends from 2003 to 2008 are described.

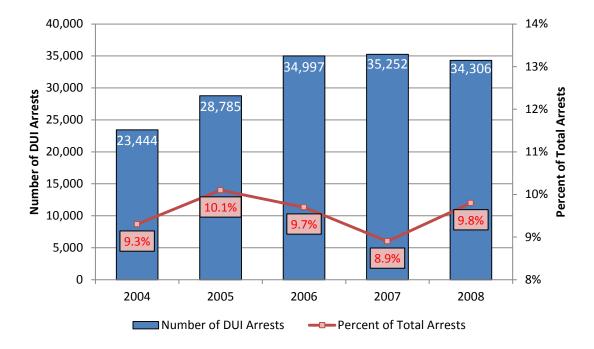
# SECTION ONE DEMOGRAPHICS

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### 1.1 Number of DUI Assessments Submitted in 2008

The number of completed DUI assessments submitted in calendar year 2008 was 19,624. In 2008 there were 34,306 arrests for DUI which represented 9.8% of all arrests in Kentucky in that year. Figure 1.1 presents the number of DUI arrests from 2004 to 2008 and the percent of total arrests in Kentucky those DUIs represent.

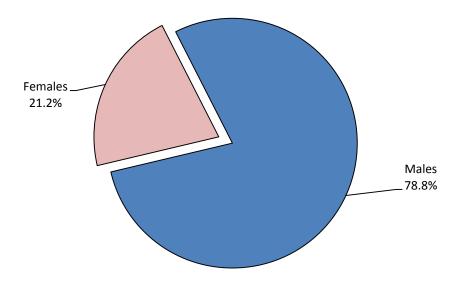
Figure 1.1: Number of DUI Arrests and Percent of Total Arrests 2004 to 2008



### 1.2 DUI Assessments by Gender

Of the 19,618 assessments that reported gender, 15,454 (78.8%) were males and 4,164 (21.2%) were females.

Figure 1.2: Assessments by Gender\*



<sup>\*</sup> Missing Data = 6 Assessments

### 1.3 Assessments by Age

The majority of assessments submitted in 2008 were for persons between 21 and 40 years of age (62.2%). The oldest person was 82 years old. There were 1,429 assessments (7.8%) submitted for persons who were between 16 and 20 years of age at the time they were convicted. Figure 1.3 presents the number of assessments by age at conviction.

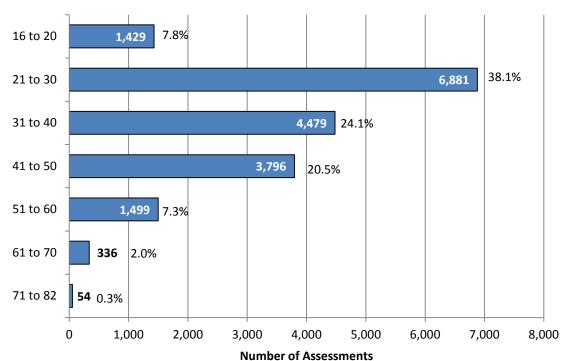


Figure 1.3: Assessments by Age at Conviction\*

<sup>\*</sup> Missing Data = 1,150 Assessments

### 1.4 DUI Convictions in the Previous Five Years

Figure 1.4 presents frequencies of multiple DUI convictions within the past five years. This number includes the DUI conviction which resulted in the current assessment.

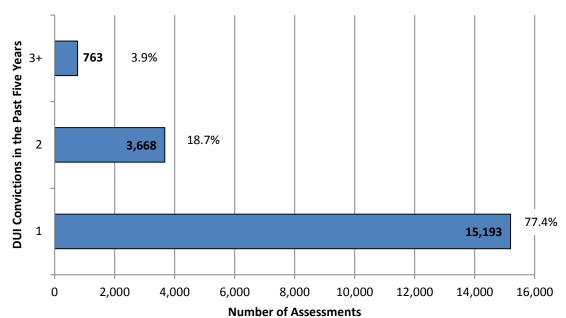


Figure 1.4: DUI Convictions in the Previous Five Years\*

<sup>\*</sup> Missing Data = 0 Assessments

### 1.5 Blood Alcohol Content

Figure 1.5 presents frequencies of Blood Alcohol Content (BAC) at the time of arrest which are arranged by category. A large number of assessments were in the 0.08 to 0.15 g/dL range. There were very few cases above 0.24 g/dL (n = 539).

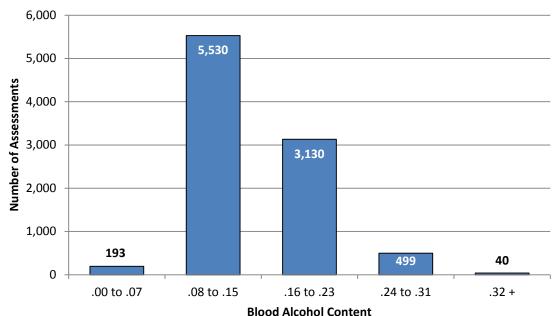


Figure 1.5: Blood Alcohol Content by Number of Assessments\*

### **Demographics Summary**

Persons assessed in 2008 were most likely to be males between 21 and 40 years old who were arrested for their first DUI in five years and who possessed a BAC between 0.08 and 0.15 g/dL.

<sup>\*</sup> Missing Data = 10,232 Assessments

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# SECTION TWO SCREENING

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#### **2.1 AUDIT**

The Alcohol Use Disorders Identification Test (AUDIT) is designed to identify problem drinking. The test consists of 10 questions each scored from 0 to 4. The final score is computed as the sum of the 10 individual question scores. A final score of 8 or more is considered indicative of a drinking problem. Males generally score higher than females (see Table 2.1). Appendix A contains average AUDIT scores for each question by gender.

Table 2.1: AUDIT Scores\*

	Males	Females	l otal
Positive (8+)	5714 (37.2%)	1098 (26.5%)	6812 (34.9%)
Average Score	7.32	6.02	7.04
Number of Assessments	15,358	4,144	19,502

<sup>\*</sup> Missing Data = 122 AUDIT Gender Assessments

#### **2.2 DAST**

The Drug Abuse Screening Test (DAST) assesses drug use problems. The test consists of 28 true/false questions with a score of 1 or 0. A summed score of 5 or more identifies a person with a potential drug problem. Females had a higher average score than males (see Table 2.2).

Table 2.2: DAST Scores\*

	Males	Females	l otal
Positive (5+)	4,819 (31.6%)	1,388 (33.7%)	6,207 (32.0%)
Average Score	5.08	5.61	5.20
Number of Assessments	15,267	4,124	19,391

<sup>\*</sup> Missing Data = 233 DAST Gender Assessments

Please note that screening instruments do not dictate a level of care. Screening instruments, in combination with a face-to-face interview, assist clinicians in determining the appropriate level of care for individuals.

### 2.3 AUDIT and DAST by Number of Convictions

Figure 2.1 presents the relation between AUDIT and DAST scores and the number of DUI convictions in the past five years. The horizontal line for a test score of 8 differentiates between a positive and negative AUDIT score. The horizontal line at 5 differentiates between a positive and negative DAST score. Persons convicted of their first DUI had an average score of 6.4 on the AUDIT and 5.0 on the DAST. Both scores are considered negative for alcohol or drug problems. Offenders with two or more DUI convictions in the past five years had an average score of 8.9 on the AUDIT and 5.9 on the DAST. Those persons with three or more prior convictions scored 11.2 on the AUDIT and 6.8 on the DAST. The average AUDIT and DAST scores for persons with multiple convictions were positive on both tests suggesting a more severe alcohol and/or drug problem.

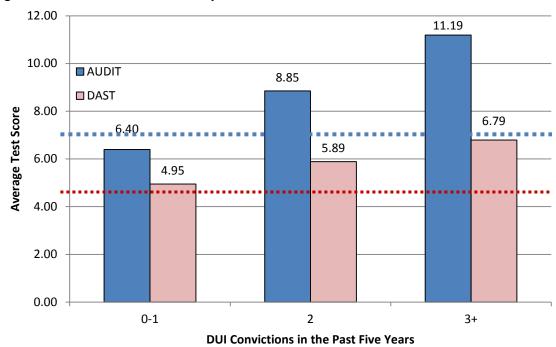


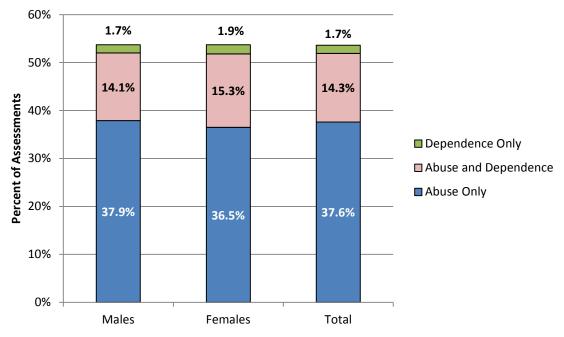
Figure 2.1: AUDIT and DAST by Number of DUI Convictions\*

<sup>\*</sup> Missing Data = 117 DUI Convictions for AUDIT and 228 for DAST

### 2.4 DSM-IV-TR Abuse and Dependence Criteria

In 2008, females convicted of DUI had a higher rate of dependence (17.2%) than males convicted of DUI (15.8%). The top section of each bar in Figure 2.2 presents individuals who met three or more dependence criteria in their lifetime but no abuse criteria. The lower section shows individuals who met abuse criteria and less than three dependence criteria. The center section shows persons who met criteria for abuse and three or more dependence criteria in the lifetime. Appendix C (page 85) presents responses for each DSM-IV-TR criteria by gender. It is important to note that these data do not present a clinical DSM-IV-TR diagnosis. Dependence in this case means that the person met at least three DSM-IV-TR dependence criteria in his/her lifetime. A clinical DSM-IV-TR dependence diagnosis requires meeting three (or more) criteria which occur within the same 12-month time frame. Abuse means that the person met self-reported DSM-IV-TR criteria for abuse in their life. Neither diagnostic category takes the possibility of remission into consideration.

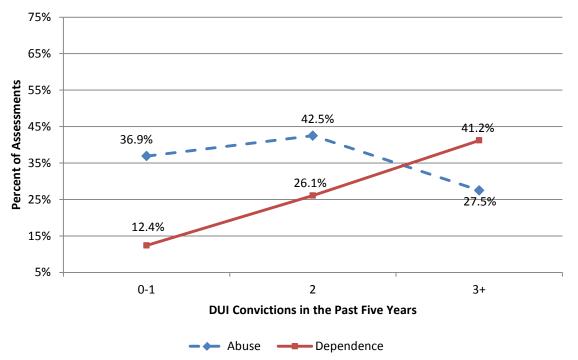
Figure 2.2: Percent of Persons Meeting DSM-IV-TR Abuse and/or Dependence Criteria by Gender\*



<sup>\*</sup> Missing Data = 6 Assessments

Figure 2.3 compares the percentage of persons who reported DSM-IV-TR criteria for abuse or dependence with the number of previous DUI convictions in the past five years. The percent of persons who reported three or more dependence criteria in their lifetime increases between DUI convictions in the past five years. The percent of persons reporting abuse, however, increased about 6 percentage points between 0-1 to 2 DUI convictions but then decreased about 15 percentage points between 2 to 3+ DUI convictions. This may be due to the increased number of persons reporting dependence criteria.

Figure 2.3: Percent of Persons meeting Dependence or Abuse Criteria by Number of DUI Convictions in the Past Five Years\*



<sup>\*</sup> Missing Data = 0 Assessments

### 2.5 DSM-IV-TR Criteria and Blood Alcohol Content

There was a relationship between Blood Alcohol Content (BAC) and individuals who met DSM-IV-TR abuse and/or 3 or more dependence criteria in their lifetime. Figure 2.4 presents trends for BAC and DSM-IV-TR dependence and abuse criteria. Persons who were convicted with a higher BAC were more likely to self-report DSM-IV-TR criteria for dependence. As mentioned in section 2.4, this decrease in persons reporting abuse may be due to the increased number of persons reporting dependence criteria.

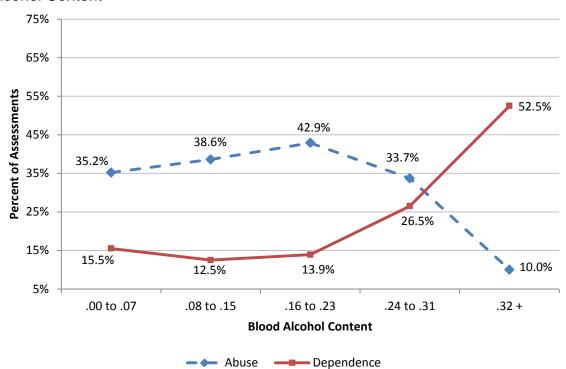


Figure 2.4: Percent of Persons Meeting Abuse or Dependence Criteria by Blood Alcohol Content\*

### **Screening Summary**

AUDIT and DAST scores, DSM-IV-TR criteria, and blood alcohol content are all closely related. Persons with multiple DUI convictions and a high BAC are more likely to meet at least three DSM-IV-TR criteria for substance dependence in their lifetime.

<sup>\*</sup> Missing Data = 10,232 Assessments

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## SECTION THREE TREATMENT REFERRALS

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### 3.1 Level of Care Recommended

Figure 3.1 presents the assessors' education and treatment intervention referrals. Only the highest level of care recommended is provided. For example, if an individual was recommended for Outpatient (OP) and Intensive Outpatient (IOP), only the IOP recommendation is presented. Figure 3.1 indicates that almost everyone assessed (96.9%) was referred for Education or Outpatient treatment as their highest level of care.

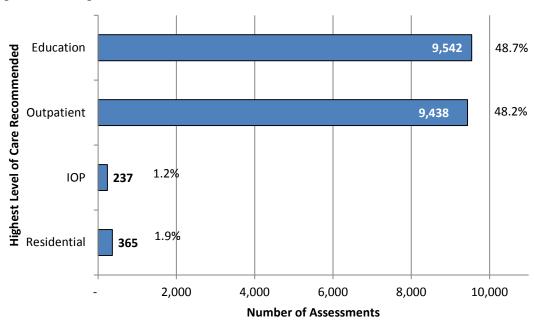


Figure 3.1: Highest Level of Care Recommended\*

<sup>\*</sup> Missing Data = 42 Assessments

### 3.2 Level of Care by DSM-IV-TR Criteria

Figure 3.2 presents the highest level of care recommended by DSM-IV-TR criteria. Treatment referrals are related to DSM-IV-TR criteria. Those persons who met three or more dependence criteria in their lifetime were more likely to have received an intensive outpatient or residential treatment recommendation. Persons who did not meet criteria for abuse or dependence were most often referred for education. Persons who met three or more dependence criteria in their lifetime were more likely to have been referred for a treatment intervention than those who met criteria for abuse who in turn were more likely to have been referred for a treatment intervention than those persons who did not meet DSM-IV-TR criteria for abuse or dependence.

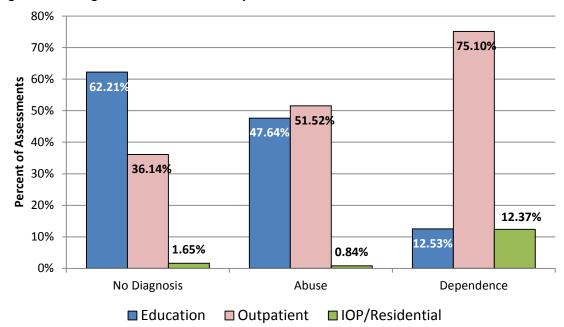


Figure 3.2: Highest Level of Care by DSM-IV-TR Criteria\*

<sup>\*</sup> Missing Data = 42 Assessments

### 3.3 Total Referrals

Table 3.1 presents the number of referrals to each level of care, including multiple referrals. This represents the total number of intervention referrals to a specific intervention regardless of how many other levels of care were recommended.

Table 3.1: Total Referrals\*†

Education	10,730
Outpatient	9,662
Intensive Outpatient	270
Residential	365

<sup>†</sup>Some assessments are counted twice because some individuals are referred to more than one level of care

Table 3.2 presents all intervention combinations. It is interesting to note that 52.7% of persons recommended for Residential services were also recommended for an additional level of care.

Table 3.2 Total Referrals by Combination\*

,	
Education	9,542
Outpatient	8,279
OP & Edu	1,159
Intensive Outpatient	167
IOP & Edu	7
IOP & OP	63
IOP & OP & Edu	0
Residential	174
Res & Edu	11
Res & OP	136
Res & OP & Edu	11
Res & IOP	19
Res & IOP & Edu	0
Res & IOP & OP	14
Res & IOP & OP & Edu	0

#### Kev:

Education	Edu
Outpatient	OP
Intensive Outpatient	IOP
Residential	Res

<sup>\*</sup> Missing Data = 42 Assessments

## 3.4 Highest Level of Care Recommended by the Number of DUI Convictions in the Previous Five Years

Figure 3.3 presents the type of referral an individual received compared to the total number of DUI convictions in the past five years. Only the highest level of care is presented. Persons convicted of their first DUI in five years typically received an education intervention or an outpatient treatment recommendation. Almost all persons convicted of two or more DUIs in the past five years received an outpatient treatment recommendation. It is unclear why a small percentage of persons with multiple DUI convictions (1.9%) received education as their highest level of care. This may be related to data limitations discussed in the background section of this report. There is a slight increase in the percentage of intensive outpatient and residential treatment recommendations which coincides with an increase in previous DUI convictions.

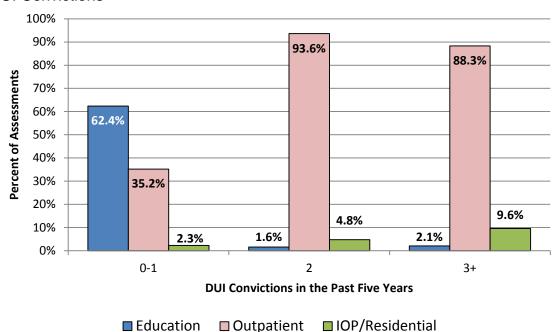


Figure 3.3: Highest Level of Care Recommended Compared to the Number of DUI Convictions\*

<sup>\*</sup> Missing Data = 42 Assessments

### 3.5 Recommended Level of Care by Blood Alcohol Content

Figure 3.4 presents the highest level of care recommended and the Blood Alcohol Content of the most recent DUI. Persons who are under twice the legal limit (< 0.16 g/dL) were more likely to receive an education intervention. Persons above 0.16g/dL were more likely to receive an outpatient recommendation. There is a trend for persons with higher BACs to be recommended for intensive outpatient or residential services.

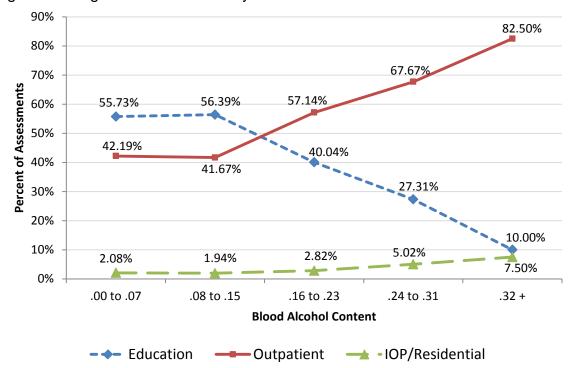


Figure 3.4: Highest Level of Care by Blood Alcohol Content\*

### **Referral Summary**

Most of the persons assessed are referred to 20-hour education or an outpatient treatment intervention. There is a relationship between the level of care recommended and DSM-IV-TR criteria. The level of care recommended and blood alcohol content are also related.

<sup>\*</sup> Missing Data = 10,245 Assessments

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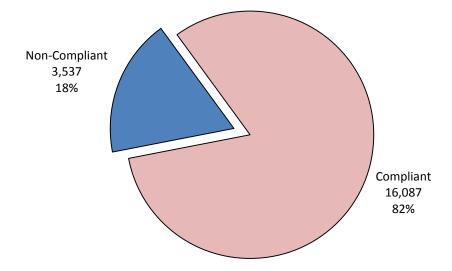
# SECTION FOUR COMPLIANCE

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# 4.1 Compliant vs. Non-Compliant

Figure 4.1 presents compliance. Overall, more than three-fourths (82%) of persons convicted of DUI were compliant with their assigned intervention. If a person enrolled in an education or treatment intervention drops out of the program, does not maintain satisfactory program attendance, or fails to meet other program expectations they are considered to be non-compliant. Females were more likely to be compliant than males (83.4% and 86.1%, respectively).

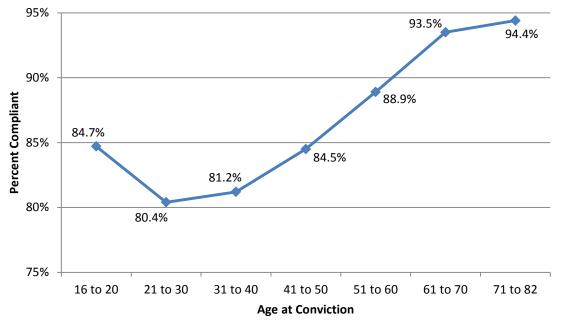
Figure 4.1: Compliant vs. Non-Compliant\*



# 4.2 Compliance by Age

Figure 4.2 presents compliance rates by age groups which indicate that younger persons tended to be less compliant.

Figure 4.2: Compliance by Age\*



<sup>\*</sup> Missing Data = 1,150 Assessments

## **4.3 Compliance by Previous DUI Convictions**

Figure 4.3 presents compliance rates by DUI conviction in the past five years. Persons with multiple convictions were less likely to be compliant with their assigned intervention. Persons with two convictions were 23.8% less likely to be compliant than persons convicted of their first DUI. Persons with three or more convictions in the past five years were 30.6% less likely to be compliant than persons convicted of their first DUI.

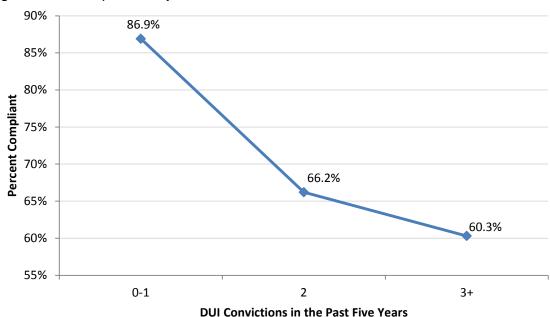
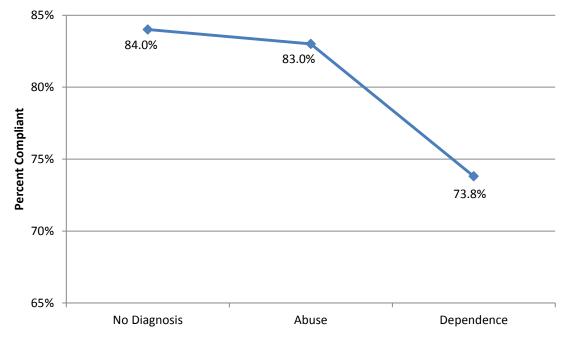


Figure 4.3: Compliance by Number of DUI Convictions\*

# 4.4 Compliance by DSM-IV-TR Criteria

Figure 4.4 presents intervention compliance by DSM-IV-TR criteria. Persons who met three or more lifetime substance dependence criteria were less likely to be compliant with their assigned intervention.

Figure 4.4: Compliance by DSM-IV-TR Criteria\*



### 4.5 Compliance by County of Conviction Status

Figure 4.5 presents compliance by the Wet/Dry/Moist status of the county of conviction. The three types of counties are<sup>6</sup>:

- Wet Alcohol can be purchased or sold anywhere in the county with the proper license.
- **Moist** A Dry county which contains a Wet city.
- **Dry** No alcohol is sold or served.

There are three exceptions to Moist and Dry counties:

- Limited Where a dry county or city has elected to allow alcohol sales in restaurants only by the drink. Such a restaurant must be able to seat 100 diners and food sales must account for at least 70% of income.
- o Golf Where sales of alcohol by the drink are approved on golf courses only.
- Winery Where a business may produce and serve wine in a dry county.
   For this report, moist counties include dry counties with limited, winery, and/or golf exceptions.

Figure 4.5 shows that persons convicted in dry counties are less likely to be compliant than those convicted in wet or moist counties. Persons convicted in wet counties and moist counties have similar rates of compliance.

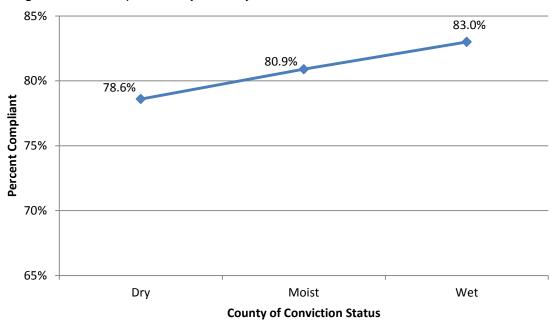


Figure 4.5: Compliance by County of Conviction Status\*

<sup>\*</sup> Missing Data = 870 Assessments

### 4.6 Compliance by Highest Level of Care Recommended

Figure 4.6 presents compliance by the highest level of care recommended. Individuals referred for education were most likely to be compliant. Persons referred to outpatient or intensive outpatient were 16.1% and 11.3% less likely to be compliant with their intervention than persons referred to education. Persons referred for residential treatment were 14.5% less likely to be compliant than those referred for education. Individuals recommended for higher levels of care may have more severe drug/alcohol problems and therefore may be less likely to be compliant. Furthermore, since residential or IOP program is more rigorous and typically more costly, both can lead to decreased compliance.

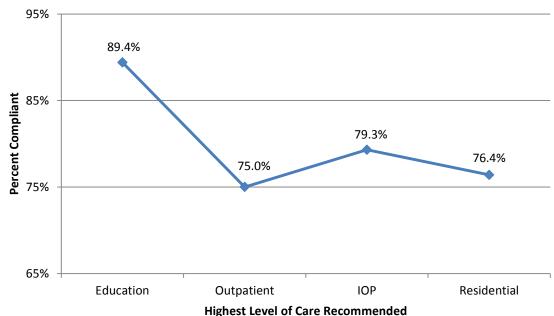


Figure 4.6: Compliance by Highest Level of Care Recommended\*

<sup>\*</sup> Missing Data = 42 Assessments

# 4.7 Compliance by AUDIT and DAST Scores

Figure 4.7 presents compliance by AUDIT scores. Scores were grouped into four categories. The four groups represent Negative (persons who scored 0-7), Positive (8-15), 2x Positive (16-23), and 3x Positive (24 and higher). Higher AUDIT scores were associated with lower compliance.

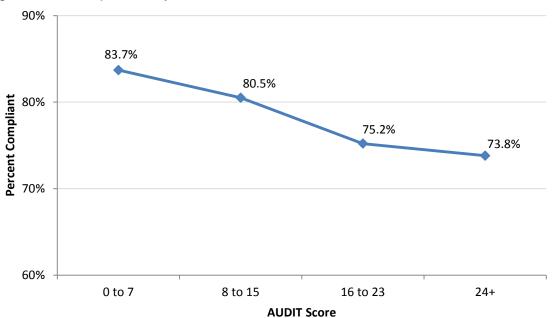


Figure 4.7: Compliance by AUDIT Score\*

<sup>\*</sup> Missing Data = 117 Assessments

Figure 4.8 presents compliance by DAST score ranges. DAST scores were also grouped into four categories. The four groups represent Negative (persons who scored 0-4), Positive (5-9), 2x Positive (10-14), and 3x Positive (15 and higher). Higher DAST scores were associated with lower compliance rates.

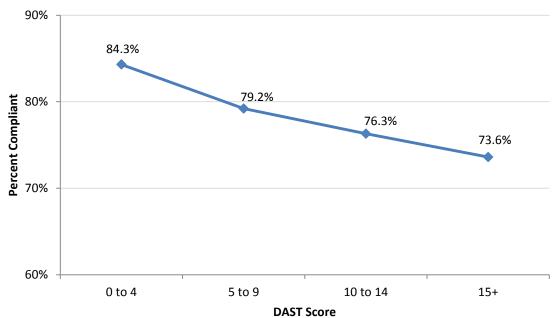


Figure 4.8: Compliance by DAST Scores\*

#### **Compliance Summary**

Lower compliance is related to younger age, male gender, more DUI convictions, dry county of conviction, higher AUDIT scores, higher DAST scores, and more intensive recommended levels of care. Consequently, multiple risk factors decrease the likelihood of compliance.

<sup>\*</sup> Missing Data = 228 Assessments

# SECTION FIVE MHMR REGIONS

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#### **5.1** Assessments

In calendar year 2008, 98 licensed and certified programs submitted at least one DUI assessment record. There were eight programs that submitted fewer than ten assessments. Table 5.1 presents the number of programs and assessment records submitted by community mental health programs (publicly funded) and private assessment programs.

Table 5.1: Community and Privately Funded Program Assessments\*

	i otai	Community	Private
Assessments Completed	19,620	4,590	15,030
Number of Programs	98	12	86
Average Assessments per Program	200.2	382.5	174.8

<sup>\*</sup> Missing Data = 4 Assessments

## 5.2 Mental Health/Mental Retardation (MHMR) Regions

Kentucky has 14 MHMR regions 1 through 15, region 9 no longer exists.

IMPORTANT: MHMR Regions include all programs (public and private) within that geographic region, not just the community mental health program that shares the region name. For tables 5.2 through 5.7, the highest and lowest values for a given field are in italics.

Table 5.2 presents demographic differences between records submitted from each region. There are very few differences between regions.

Table 5.2: MHMR Demographic Differences\*

	Average Age	% Under 40 yo	% Male	Assessments
Region 1 - Four Rivers	34.0	68.0%	76.9%	1,358
Region 2 - Pennyroyal	34.8	64.8%	81.6%	1,418
Region 3 - River Valley	35.9	61.0%	80.3%	745
Region 4 – Lifeskills	34.0	68.1%	77.0%	1,059
Region 5 - Communicare	34.7	66.7%	83.2%	1,029
Region 6 - Seven Counties	35.6	64.9%	80.3%	3,710
Region 7 - North Key	34.3	68.0%	76.1%	2,210
Region 8 - Comprehend	34.9	65.8%	78.5%	316
Region 10 - Pathways	33.8	68.4%	79.4%	1,022
Region 11 - Mountain	32.9	<b>75.0%</b>	<b>75.3</b> %	594
Region 12 - Kentucky River	34.4	68.1%	79.2%	578
Region 13 - Cumberland	34.4	67.7%	79.7%	1,084
Region 14 – Adanta	34.9	65.5%	78.6%	1,146
Region 15 - Bluegrass	33.5	70.2%	77.5%	3,330
All Regions	34.5	67.2%	78.8%	18,599

<sup>\*</sup> Missing Records: Age = 1150, Gender =6, Assessments = 0

#### **5.3 DUI Convictions in the Past Five Years**

Table 5.3 presents the average number of convictions by region and the percentage of persons presenting for their first (0-1), second (2), or third or more (3+) DUI conviction in the previous five years. First offenders were a majority in all regions. River Valley had the highest level of second conviction persons (23.4%), and PennyRoyal had the highest level of persons convicted for three or more DUIs (5.2%).

Table 5.3: MHMR DUI Convictions in the Past Five Years

Region 1 - Four Rivers
Region 2 – Pennyroyal
Region 3 - River Valley
Region 4 – Lifeskills
Region 5 – Communicare
Region 6 - Seven Counties
Region 7 - North Key
Region 8 – Comprehend
Region 10 – Pathways
Region 11 – Mountain
Region 12 - Kentucky River
Region 13 – Cumberland
Region 14 – Adanta
Region 15 – Bluegrass
All Regions
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Average	0-1	2	3+
1.25	79.2%	16.8%	4.0%
1.31	74.1%	20.7%	<b>5.2%</b>
1.33	71.8%	23.4%	4.8%
1.32	73.2%	21.9%	4.9%
1.28	75.5%	20.8%	3.7%
1.27	77.1%	19.2%	3.7%
1.20	82.4%	15.2%	2.4%
1.25	78.8%	18.0%	3.2%
1.30	76.8%	18.4%	4.8%
1.28	76.6%	19.0%	4.4%
1.32	72.5%	23.0%	4.5%
1.25	78.2%	18.4%	3.4%
1.27	78.6%	16.2%	5.1%
1.25	78.6%	18.0%	3.3%
1.28	77.4%	18.7%	3.9%

<sup>\*</sup> Missing Data = 22 Assessments

# 5.4 MHMR Regions and Blood Alcohol Content

Table 5.4 presents MHMR regions and blood alcohol content (BAC). The average BAC was fairly consistent across regions. Mountain had the lowest average BAC and North Key had the highest average BAC.

Table 5.4: MHMR Regions and Blood Alcohol Content\*

	BAC Ranges (g/dL)						
Avg BAC	<u>&lt;</u> .07	.0815	.1623	.2431	<u>&gt;</u> .32		
0.142	2.2%	63.4%	28.2%	6.0%	0.2%		
0.132	4.3%	65.1%	25.0%	4.7%	0.9%		
0.148	1.9%	58.5%	33.3%	5.3%	1.0%		
0.145	1.0%	61.7%	32.5%	4.8%	0.0%		
0.150	0.9%	56.8%	36.0%	5.5%	0.9%		
0.151	2.9%	51.2%	39.9%	5.5%	0.5%		
0.154	0.8%	52.0%	41.7%	5.3%	0.1%		
0.153	1.5%	53.1%	34.7%	10.7%	0.0%		
0.143	1.4%	61.3%	30.3%	6.6%	0.5%		
0.104	0.6%	94.4%	3.4%	1.7%	0.0%		
0.120	1.6%	76.8%	19.2%	2.4%	0.0%		
0.127	2.2%	70.1%	25.6%	1.3%	0.8%		
0.119	2.9%	74.1%	18.7%	4.3%	0.0%		
0.150	1.8%	55.3%	36.3%	6.1%	0.6%		
0.144	2.1%	58.9%	33.3%	5.3%	0.4%		
	0.142 0.132 0.148 0.145 0.150 0.151 0.153 0.143 0.104 0.120 0.127 0.119 0.150	BAC ≤.07  0.142 2.2%  0.132 4.3%  0.148 1.9%  0.145 1.0%  0.150 0.9%  0.151 2.9%  0.154 0.8%  0.153 1.5%  0.143 1.4%  0.104 0.6%  0.120 1.6%  0.127 2.2%  0.150 1.8%	BAC       ≤.07       .0815         0.142       2.2%       63.4%         0.132       4.3%       65.1%         0.148       1.9%       58.5%         0.145       1.0%       61.7%         0.150       0.9%       56.8%         0.151       2.9%       51.2%         0.154       0.8%       52.0%         0.153       1.5%       53.1%         0.143       1.4%       61.3%         0.104       0.6%       94.4%         0.120       1.6%       76.8%         0.127       2.2%       70.1%         0.119       2.9%       74.1%         0.150       1.8%       55.3%	BAC         ≤.07         .0815         .1623           0.142         2.2%         63.4%         28.2%           0.132         4.3%         65.1%         25.0%           0.148         1.9%         58.5%         33.3%           0.145         1.0%         61.7%         32.5%           0.150         0.9%         56.8%         36.0%           0.151         2.9%         51.2%         39.9%           0.154         0.8%         52.0%         41.7%           0.153         1.5%         53.1%         34.7%           0.143         1.4%         61.3%         30.3%           0.104         0.6%         94.4%         3.4%           0.120         1.6%         76.8%         19.2%           0.127         2.2%         70.1%         25.6%           0.119         2.9%         74.1%         18.7%           0.150         1.8%         55.3%         36.3%	BAC         ≤.07         .0813         .1623         .2431           0.142         2.2%         63.4%         28.2%         6.0%           0.132         4.3%         65.1%         25.0%         4.7%           0.148         1.9%         58.5%         33.3%         5.3%           0.145         1.0%         61.7%         32.5%         4.8%           0.150         0.9%         56.8%         36.0%         5.5%           0.151         2.9%         51.2%         39.9%         5.5%           0.154         0.8%         52.0%         41.7%         5.3%           0.153         1.5%         53.1%         34.7%         10.7%           0.143         1.4%         61.3%         30.3%         6.6%           0.104         0.6%         94.4%         3.4%         1.7%           0.120         1.6%         76.8%         19.2%         2.4%           0.127         2.2%         70.1%         25.6%         1.3%           0.150         1.8%         55.3%         36.3%         6.1%		

<sup>\*</sup> Missing Data = 10,240 Assessments

### 5.5 MHMR Regions and Screening Instruments

Table 5.5 presents the AUDIT and DAST average scores and percentage of assessments that were positive for each test by MHMR region. Table 5.6 presents the percentage of assessed persons who met DSM-IV-TR criteria by MHMR region.

Table 5.5: MHMR Regions and AUDIT/DAST Scores\*

	Α	UDIT	D	AST
	Average	% Positive	Average	% Positive
Region 1 - Four Rivers	6.9	33.2%	4.8	30.2%
Region 2 – Pennyroyal	7.2	36.2%	5.6	37.5%
Region 3 - River Valley	6.4	31.8%	5.4	33.3%
Region 4 - Lifeskills	6.8	33.7%	6.1	42.2%
Region 5 - Communicare	8.6	<b>45.9%</b>	5.0	30.6%
Region 6 - Seven Counties	8.1	41.8%	4.3	23.8%
Region 7 - North Key	7.1	35.8%	3.8	20.4%
Region 8 - Comprehend	5.9	25.3%	5.4	36.4%
Region 10 - Pathways	5.9	31.0%	6.4	41.5%
Region 11 - Mountain	6.6	34.2%	<b>8.2</b>	55.8%
Region 12 - Kentucky River	7.3	38.9%	8.0	<b>57.8%</b>
Region 13 - Cumberland	5.8	28.5%	7.0	50.3%
Region 14 - Adanta	6.4	30.5%	6.1	40.3%
Region 15 - Bluegrass	6.7	29.9%	4.5	23.2%
All Regions	7.0	35.0%	5.2	32.0%

<sup>\*</sup>Missing Data = 139 AUDIT/ 249 DAST Assessments

Table 5.6: MHMR Regions and DSM-IV-TR Criteria\*

	No Criteria	Abuse Only	Dependence
Region 1 - Four Rivers	45.4%	39.0%	15.6%
Region 2 - Pennyroyal	53.5%	31.7%	14.9%
Region 3 - River Valley	42.7%	39.6%	17.7%
Region 4 - Lifeskills	47.2%	31.8%	21.0%
Region 5 - Communicare	58.0%	33.0%	8.9%
Region 6 - Seven Counties	49.7%	37.6%	12.7%
Region 7 - North Key	36.8%	<i>50.0%</i>	13.2%
Region 8 - Comprehend	48.7%	24.4%	26.9%
Region 10 - Pathways	38.3%	32.6%	29.2%
Region 11 - Mountain	27.1%	36.5%	36.4%
Region 12 - Kentucky River	38.2%	25.1%	36.7%
Region 13 - Cumberland	44.5%	30.9%	24.6%
Region 14 - Adanta	60.2%	26.2%	13.6%
Region 15 - Bluegrass	45.8%	45.5%	8.7%
All Regions	46.3%	37.6%	16.1%
*** ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '	4		

<sup>\*</sup> Missing Data = 22 Assessments

#### 5.6 MHMR Regions and Level of Care

Table 5.7 presents the highest level of care assigned and overall compliance level by MHMR region. Level of care refers only to the highest level assigned for each assessment. When two or more levels of care were assigned, only the highest level is presented here. Compliance refers to the percentage of assessments that were considered compliant on completion. There were variations between MHMR regions.

Table 5.7: MHMR Regions and Level of Care\*

	Education	Outpatient	IOP	Residential	Compliance
Region 1 - Four Rivers	67.4%	28.2%	0.1%	4.3%	78.8%
Region 2 - Pennyroyal	60.2%	37.2%	1.0%	1.6%	81.6%
Region 3 - River Valley	51.1%	44.4%	0.5%	3.9%	77.3%
Region 4 - Lifeskills	38.8%	57.3%	1.1%	2.7%	80.5%
Region 5 - Communicare	51.4%	47.1%	0.6%	1.0%	83.4%
Region 6 - Seven Counties	41.1%	56.6%	1.6%	0.7%	82.2%
Region 7 - North Key	36.2%	60.3%	1.1%	2.4%	84.4%
Region 8 - Comprehend	26.9%	71.5%	1.6%	0.0%	70.3%
Region 10 - Pathways	38.0%	58.4%	0.6%	3.0%	82.0%
Region 11 - Mountain	51.7%	44.6%	0.3%	3.4%	68.4%
Region 12 - Kentucky River	34.8%	62.3%	0.9%	2.1%	78.4%
Region 13 - Cumberland	58.8%	38.6%	0.4%	2.2%	84.8%
Region 14 - Adanta	46.6%	47.6%	4.5%	1.3%	83.2%
Region 15 - Bluegrass	59.6%	38.0%	1.3%	1.1%	85.6%
All Regions	48.7%	48.2%	1.2%	1.9%	82.0%

<sup>\*</sup> Missing Data = 64 level of care/22 compliance assessments

# **Region Summary**

There was variability between regions in demographics, screening instrument results, intervention referrals, and education/treatment outcomes. In general, these variations were consistent with 2007.

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# **SECTION SIX**

# DIVISION OF BEHAVIORAL HEALTH REGIONS

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### 6.1 Number of Assessments and Demographics by DBH Region

In 2008 the Division of Behavioral Health (DBH) had five coordinators, each representing a region of Kentucky. For a map of these regions, please see Appendix F (page 89). Table 6.1 presents the number of assessments, average age of persons assessed, and the percent of assessments that were for males by Division of Behavioral Health (DBH) Regions. The West and West-Central regions had slightly older individuals receiving DUI assessments and they were slightly more likely to be male.

Table 6.1: Assessments by DBH Region

	NORTH-							
		EAST/MID-						
	CENTRAL	EAST	WEST	WEST	CENTRAL			
Assessments	2,950	3,378	3,480	4,473	4,189			
% Male**	76.9%	78.2%	77.9%	79.3%	80.9%			
Average Age***	33.7	34.3	34.1	34.6	35.4			

<sup>\*\*</sup> Missing Data = 6 Assessments

#### 6.2 AUDIT and DAST Scores by DBH Region

Table 6.2 presents AUDIT and DAST scores by DBH region. The West-Central region had the highest percent of persons with a positive AUDIT score. The East region had the highest percent of persons with a positive DAST score. Persons from East and West regions had an average score that was positive for the DAST. Persons assessed in the West-Central region had an average score that was positive for the AUDIT.

Table 6.2: AUDIT and DAST Scores by DBH Region

	CENTRAL	NORTH- EAST/MID- CENTRAL EAST WEST WEST							
AUDIT*	-								
Positive	29.5%	31.9%	33.9%	34.2%	43.4%				
Average Score	6.60	6.39	6.75	6.95	8.25				
DAST**									
Positive	22.8%	48.7%	29.2%	34.9%	25.1%				
Average Score	4.43	7.06	4.77	5.39	4.42				
* M**- D-1- 4/	34 4								

<sup>\*</sup> Missing Data =121 Assessments

<sup>\*\*\*</sup> Missing Data = 1150 Assessments

<sup>\*\*</sup> Missing Data = 231 Assessments

### 6.3 Blood Alcohol Content by DBH Region

Table 6.3 presents the average Blood Alcohol Content and percent of assessments that were 0.08 g/dL or higher.

Table 6.3: Blood Alcohol Content by DBH Region\*

	NORTH-							
		EAST/MID- WEST-						
	CENTRAL	EAST	WEST	WEST	CENTRAL			
Average BAC	0.148	0.121	0.151	0.142	0.151			
% ≥ 0.08	98.1%	97.8%	98.9%	97.5%	97.6%			

<sup>\*</sup> Missing Data = 10233 Assessments

## 6.4 DSM-IV-TR Criteria by DBH Region

Table 6.4 presents the percent of persons who met DSM-IV-TR criteria for substance abuse and the percent of persons who met at least three dependence criteria in their life. Persons who met three or more dependence criteria were not included as abuse.

Table 6.4: DSM-IV-TR Criteria by DBH Region\*

	NORTH-								
		EAST/MID- WEST-							
	CENTRAL	EAST	WEST	WEST	CENTRAL				
% Abuse	45.3%	28.5%	43.0%	34.8%	37.4%				
% Dependent	7.5%	25.3%	19.4%	17.2%	11.6%				

<sup>\*</sup> Missing Data = 4 Assessments

#### 6.5 Level of Care and Compliance by DBH Region

Table 6.5 presents the distribution of the highest level of care recommended by DBH region. The Central region had the highest percent of persons recommended for education and the West and Northeast/Midwest regions had the highest percent of persons recommended for residential. Table 6.5 also presents the percent of persons who were compliant with their assigned recommendation.

Table 6.5: Level of Care and Compliance by DBH Region

		NORTH- EAST/MID- WES							
	CENTRAL	EAST	WEST	WEST	CENTRAL				
Highest Level of Care*									
Education	58.9%	50.2%	34.3%	55.4%	43.8%				
Outpatient	38.8%	46.1%	61.9%	41.1%	54.1%				
IOP	1.3%	1.8%	1.0%	0.7%	1.3%				
Residential	0.9%	1.9%	2.8%	2.8%	0.9%				
Compliance**	85.5%	80.2%	82.4%	80.3%	81.9%				

<sup>\*</sup> Missing Data = 46 Assessments

<sup>\*\*</sup> Missing Data = 4 Assessments

#### **Division of Behavioral Health Regions Summary**

There was similarity across regions, but with four notable exceptions. First, the percent of persons who met three or more DSM-IV-TR criteria for substance dependence ranged from a low of 7.5% for the Central region to more than triple the rate (25.3%) in the East region. Second, a significantly smaller percentage of persons in the North East/Midwest region (34.3%) were referred to education as their highest level of care than other areas of the state (52.1%). Third, AUDIT scores in the West-Central region (8.25) were noticeably higher than in other regions (< 7). Finally, the percentage of persons who scored 5 or higher on the DAST in the East region (48.7%) significantly exceeded the percentage for the rest of Kentucky (28.0%).

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# SECTION SEVEN TRENDS 2003 TO 2008

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#### 7.1 Assessments Received 2003 to 2008

Table 7.1 presents the number of assessments CDAR received on behalf of the DBH from 2003 through 2008. The average number of assessments received has been 20,869 per year.

Table 7.1: Number of Assessments 2003 to 2008

2003	2004	2005	2006	2007	2008
21,731	23,065	21,025	21,979	17,792	19,624

#### 7.2 Gender and Age Trends 2003 to 2008

Figure 7.1 presents the percent of assessments that were for males from 2003 through 2008. The percentage of males has slowly decreased over the past six years. Figure 7.2 presents the number of assessments for underage persons.

Figure 7.1: Percent of Assessments that were for Males 2003 to 2008

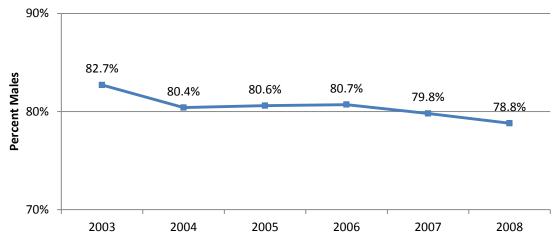
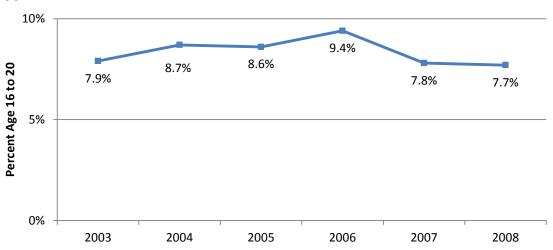


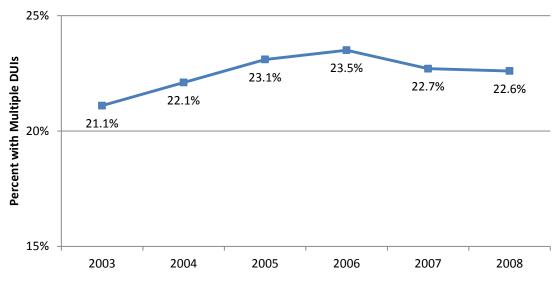
Figure 7.2: Percent of Assessments that were for Underage Persons 2003 to 2008



# 7.3 Multiple DUI Convictions 2003 to 2008

Figure 7.3 presents the percent of assessments that had multiple DUI convictions in the previous five years. In general, the percent of persons convicted with multiple DUIs in the past six years has remained relatively stable.

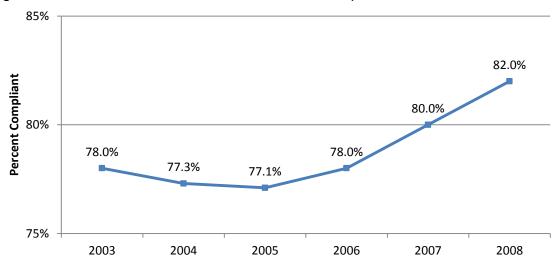
Figure 7.3: Percent of Assessments for persons who had Multiple DUI Convictions in the Previous Five Years 2003 to 2008



# 7.4 Education/Treatment Compliance 2003 to 2008

Figure 7.4 presents the percent of assessments that were compliant with their assigned education and/or treatment intervention. The percent of compliant persons has increased over the past three years.

Figure 7.4: Percent of Assessments that were Compliant 2003 to 2008



#### 7.5 AUDIT and DAST Results 2003 to 2007

Figure 7.5 presents the average AUDIT and DAST scores for 2003 through 2008. In the past few years there is a slight decrease in AUDIT scores whereas DAST scores have increased. Figure 7.6 presents the percent of assessments that were positive on the AUDIT and DAST.

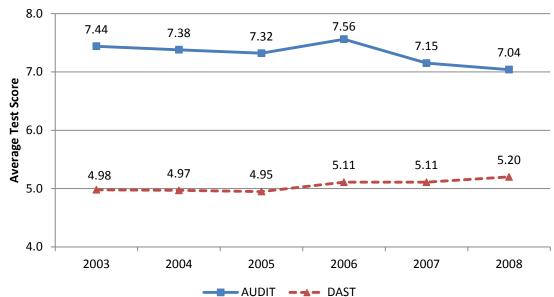
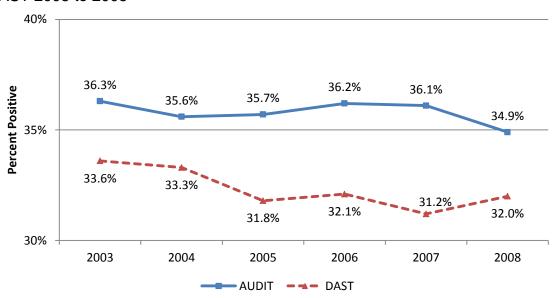


Figure 7.5: Average AUDIT and DAST Scores 2003 to 2008

Figure 7.6: Percent of Assessments with Positive Scores on the AUDIT and DAST 2003 to 2008



#### 7.6 Education/Treatment Recommendations 2003 to 2008

Figure 7.7 presents the percent of assessments that were referred for Education or Outpatient as the highest level of care from 2003 to 2008. The trend of increasing rates of outpatient referrals appears to be reversing as the percentage of outpatient versus education referrals are very similar. Figure 7.8 presents the percent of assessments referred for IOP and/or residential treatment from 2003 to 2008. The percent of assessments with an IOP or residential referral has remained stable over the past six years.

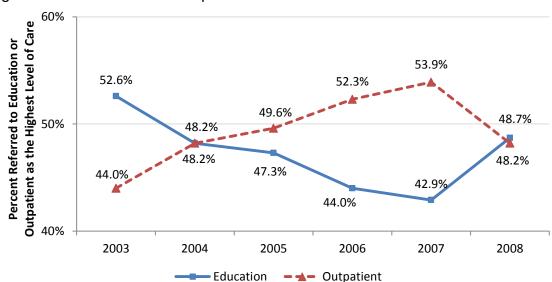
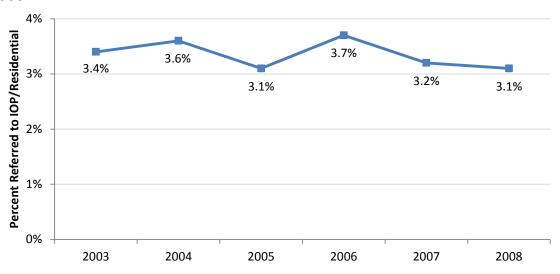


Figure 7.7: Education and Outpatient Referrals 2003 to 2008

Figure 7.8: Intensive Outpatient and Residential Treatment Referrals 2003 to 2008



#### 7.7 DSM-IV-TR Dependence 2003 to 2008

Figure 7.9 presents the percent of assessed persons who met at least three lifetime DSM-IV-TR criteria for dependence from 2003 to 2008. In general, the percent of assessed persons who met dependence criteria has increased over the past six years.

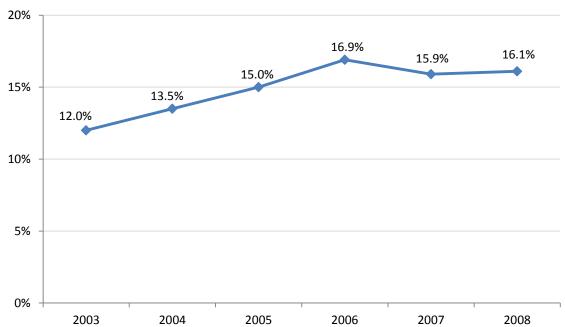


Figure 7.9: DSM-IV-TR Dependence 2003 to 2008

#### **Trends Summary**

Overall, assessment findings for 2003 through 2008 reveal some important trends. First, education referrals appear to be on the rise, whereas the outpatient referrals are decreasing. Second, the percentage of female DUI offenders has gradually increased over the past several years. Similarly, rates of compliance have risen steadily in recent years. Finally, the percent of persons meeting three or more DSM-IV-TR dependence criteria is slowly growing.

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# **SUMMARY**

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#### Summary

In 2008, the characteristics of the typical Kentucky DUI offender were similar to previous years. Individuals who received a DUI assessment tended to be a male arrested for his first DUI who was in his 20's with a blood alcohol concentration between 0.08 g/dL and 0.15 g/dL. The overwhelming majority of DUI offenders were referred to either a 20-hour education intervention or an outpatient substance abuse treatment program.

In general, an individual's education and/or treatment referral was consistent with the set of problem severity indicators. Specifically, having elevated AUDIT and DAST scores, meeting DSM-IV-TR substance use disorder criteria, and being a multiple offender each resulted in a greater likelihood that a referral for treatment was given rather than to education only.

The lowest rates of compliance continue to belong to males, particularly within the 21 to 40 age group and those who have greater substance problem severity as indicated by the screening instruments and subsequent referrals. Overall rates of compliance (82%), however, were at their highest level in the past several years.

The number of women who received DUI assessments has risen slowly in the past several years, and their substance problem profile appears to differ from that of male DUI offenders. Female DUI offenders scored higher on the DAST and had higher rates of DSM-IV-TR substance dependence than males. This growth in the female DUI population is consistent with national trends.

As in previous years, there was regional variability with respect to indicators of problem severity and referral practices. The percentage of positive DAST scores in the East region was nearly double the rate for the rest of the state. In addition, the East region had the largest percentage of individuals who met DSM-IV-TR criteria for substance dependence. Conversely, the West-Central region had significantly higher AUDIT scores than other areas of Kentucky. Assessors in the Northeast-Midwest region more frequently gave outpatient treatment referrals than assessors located elsewhere. These findings highlight the fact that DUI prevention efforts and the treatment needs of DUI offenders may differ across Kentucky.

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## **APPENDICES**

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#### Appendix A: AUDIT Responses and Average Scores by Gender

#### 1. How often do you have a drink containing alcohol?

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(0) Never	15.22%	18.47%	15.91%
(1) Monthly or less	25.51%	32.97%	27.10%
(2) 2 to 4 times a month	32.31%	30.07%	31.83%
(3) 2 to 3 times a week	19.82%	13.69%	18.52%
(4) 4 or more times a week	7.14%	4.80%	6.64%
Average Score	1.78	1.53	1.73

## 2. How many drinks containing alcohol do you have on a typical day when you are drinking?

_	Males	Females	Total
(0) 1 or 2	28.72%	40.25%	31.17%
(1) 3 or 4	28.88%	32.52%	29.58%
(2) 5 or 6	23.00%	16.26%	21.63%
(3) 7, 8, or 9	9.10%	5.35%	8.31%
(4) 10 or more	10.30%	5.62%	9.31%
Average Score	1.43	1.04	1.35

#### 3. How often do you have six or more drinks on one occasion?

	Males	Females	Total
(0) Never	29.87%	43.71%	32.81%
(1) Less than monthly	34.53%	35.59%	34.75%
(2) Monthly	16.80%	10.83%	15.53%
(3) Weekly	15.70%	7.42%	13.95%
(4) Daily or almost daily	3.10%	2.45%	2.96%
Average Score	1.28	0.89	1.20

## 4. How often during the last year have you found that you were not able to stop drinking once you had started?

	iviales	remaies	ı otal
(0) Never	77.44%	80.50%	78.09%
(1) Less than monthly	13.91%	12.46%	13.60%
(2) Monthly	4.08%	2.69%	3.79%
(3) Weekly	2.93%	2.93%	2.93%
(4) Daily or almost daily	1.64%	1.42%	1.59%
		•	
Average Score	0.37	0.32	0.36

### 5. How often during the last year have you failed to do what was normally expected from you because of drinking?

	Males	Females	l otal
(0) Never	78.08%	79.68%	78.42%
(1) Less than monthly	16.69%	15.40%	16.40%
(2) Monthly	3.10%	2.52%	2.99%
(3) Weekly	1.64%	1.63%	1.64%
(4) Daily or almost daily	0.49%	0.77%	0.55%
Average Score	0.30	0.28	0.30

6. How often during the last year have you been unable to remember what happened the night before because you had been drinking?

	Males	Females	l otal
(0) Never	93.53%	94.43%	93.72%
(1) Less than monthly	3.72%	3.19%	3.61%
(2) Monthly	1.06%	0.91%	1.03%
(3) Weekly	1.06%	0.94%	1.03%
(4) Daily or almost daily	0.63%	0.53%	0.61%
Average Score	0.12	0.10	0.11

7. How often during the last year have you needed an alcoholic drink first thing in the morning to get yourself going after a night of heavy drinking?

	Males	Females	Total
(0) Never	64.97%	63.30%	64.61%
(1) Less than monthly	25.62%	27.38%	26.00%
(2) Monthly	4.55%	4.23%	4.49%
(3) Weekly	2.87%	2.69%	2.83%
(4) Daily or almost daily	1.99%	2.40%	2.07%
Average Score	0.51	0.54	0.52

8. How often during the last year have you had a feeling of guilt or remorse after drinking?

	Males	Females	l otal
lever	78.80%	78.41%	78.72%
ess than monthly	16.15%	16.47%	16.22%
/lonthly	3.05%	2.76%	3.00%
Veekly	1.51%	1.80%	1.57%
Daily or almost daily	0.49%	0.56%	0.49%
_			
Average Score	0.29	0.30	0.29
Daily or almost daily	0.49%	0.56%	0.499

#### **APPENDICES**

#### 9. Have you or someone else been injured as a result of your drinking?

	iviales	i emales	Total
(0) No	89.08%	89.72%	89.23%
(2) Yes, but not in the last year	6.56%	4.64%	6.15%
(4) Yes, during the last year	4.36%	5.64%	4.63%
Average Score	0.31	0.32	0.31

# 10. Has a relative, friend, doctor, or another health professional expressed concern about your drinking or suggested you cut down? Males Females Total

	Males	Females	l otal
(0) No	72.26%	79.68%	73.84%
(2) Yes, but not in the last year	10.86%	7.06%	10.04%
(4) Yes, during the last year	16.89%	13.26%	16.12%
Average Score	0.89	0.67	0.85

#### Appendix B: DAST Responses by Gender

Percentages represent those who answered "yes" to each specific question except for questions 4, 5, and 7 which are reverse scored.

#### 1. Have you used drugs other than those required for medical reasons?

Males	Females	Total
41.1%	41.7%	41.3%

#### 2. Have you abused prescription drugs?

Males	Females	Total
11.7%	17.8%	13.0%

#### 3. Do you abuse more than one drug at a time?

Males	Females	Total
9.1%	11.9%	9.7%

# 4. Can you get through the week without using drugs (other than those required for medical reasons)?

Males	Females	Total
8.9%	8.4%	8.8%

Percent of persons who responded "no"

#### 5. Are you always able to stop using drugs when you want to?

Males	Females	Total
13.3%	14.5%	13.5%

Percent of persons who responded "no"

#### 6. Do you abuse drugs on a continuous basis?

Males	Females	Total
5.0%	6.5%	5.3%

#### 7. Do you try to limit your drug use to certain situations?

Males	Females	Total
37.3%	36.2%	37.1%

Percent of persons who responded "no"

### 8. Have you had "blackouts" or "flashbacks" as a result of drug use?

Males	Females	Total
5.4%	8.8%	6.2%

#### 9. Do you ever feel bad about your drug abuse?

Males	Females	Total
16.6%	21.7%	17.7%

#### 10. Does your spouse (or parents) ever complain about your involvement with drugs?

Males	Females	Total
12.9%	14.4%	13.2%

#### 11. Do your friends or relatives know or suspect you abuse drugs?

Males	Females	Total
16.0%	17.0%	16.2%

#### 12. Has drug abuse ever created problems between you and your spouse?

Males	Females	Total
9.1%	11.6%	9.6%

#### 13. Has any family member ever sought help for problems related to your drug use?

Males	Females	Total
4.5%	6.9%	5.0%

#### 14. Have you ever lost friends because of your use of drugs?

Males	Females	Total
7.5%	10.4%	8.3%

### 15. Have you ever neglected your family or missed work because of your use of drugs?

Males	Females	Total
9.0%	12.5%	9.7%

### 16. Have you ever been in trouble at work because of drug abuse?

Males	Females	Total
4.6%	4.8%	4.6%

#### 17. Have you ever lost a job because of drug abuse?

Males	Females	Total
4.8%	5.2%	4.9%

### 18. Have you gotten into fights when under the influence of drugs?

Males	Females	Total
7.3%	8.4%	7.5%

# 19. Have you ever been arrested because of unusual behavior while under the influence of drugs?

Males	Females	Total
11.2%	12.6%	11.5%

### 20. Have you ever been arrested for driving while under the influence of drugs?

Males	Females	Total
19.6%	23.7%	20.4%

### 21. Have you engaged in illegal activities to obtain drugs?

Males	Females	Total
11.1%	11.3%	11.1%

## 22. Have you ever been arrested for possession of illegal drugs?

	Males	Females	Total
ĺ	18.8%	14.7%	17.9%

# 23. Have you ever experienced withdrawal symptoms as a result of heavy drug intake?

Males	Females	Total
7.1%	11.1%	8.0%

### 24. Have you had medical problems as a result of your drug use?

Males	Females	Total
2.4%	4.1%	2.7%

### 25. Have you ever gone to anyone for help for a drug problem?

Males	Females	Total
8.9%	13.0%	9.8%

### 26. Have you ever been in the hospital for medical problems related to your drug use?

Males	Females	Total
2.7%	5.2%	3.2%

### 27. Have you ever been involved in a treatment program specifically related to drug use?

Males	Females	Total
10.3%	12.6%	10.8%

#### 28. Have you been treated as an outpatient for problems related to drug abuse?

Males	Females	Total
7.8%	10.0%	8.2%

#### Appendix C: DSM-IV-TR Abuse and Dependence Criteria by Gender

#### **Abuse Criteria**

#### (1) Recurrent substance use resulting in a failure to fulfill major role obligations at work, school, or home

Males	Females	Total
10.5%	11.3%	10.6%

#### (2) Recurrent substance use in situations in which it is physically hazardous

Males	Females	Total	
44.2%	44.5%	44.3%	

#### (3) Recurrent substance-related legal problems

Males	Females	Total
28.4%	22.6%	27.2%

# (4) Continued substance use despite having persistent or recurrent social or interpersonal problems caused or exacerbated by the effects of the substance

Males	Females	Total
12.9%	13.3%	13.0%

#### **Dependence Criteria**

- (1) Tolerance, as defined by either of the following:
- (a) a need for markedly increased amounts of the substance to achieve Intoxication or desired effect
- (b) markedly diminished effect with continued use of the same amount of the substance

Males	Females	Total
37.1%	35.1%	36.7%

- (2) Withdrawal, as manifested by either of the following:
- (a) the characteristic withdrawal syndrome for the substance
- (b) the same (or a closely related) substance is taken to relieve or avoid withdrawal symptoms

Males	Females	Total
10.9%	14.1%	11.6%

#### (3) The substance is often taken in larger amounts or over a longer period than was intended

Males	Females	Total	
21.7%	22.7%	21.9%	

#### (4) There is a persistent desire or unsuccessful efforts to cut down or control substance use

Males	Females	Total	
14.0%	13.8%	14.0%	

### (5) A great deal of time is spent in activities necessary to obtain the substance, use the substance, or recover from its effects

Males	Females	Total
9.1%	10.9%	9.5%

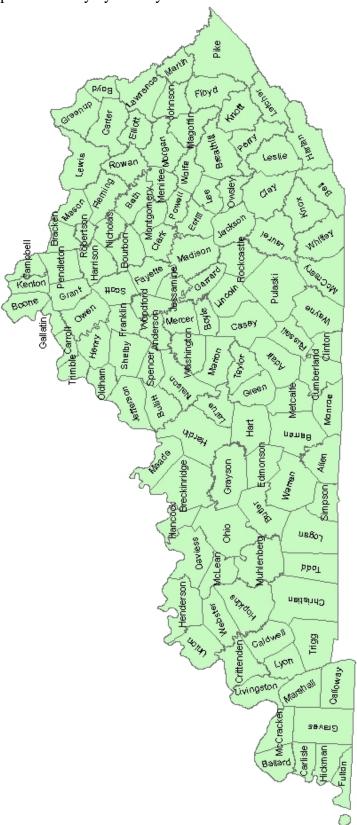
### (6) Important social, occupational, or recreational activities are given up or reduced because of substance use

Males	Females	Total
11.8%	13.1%	12.1%

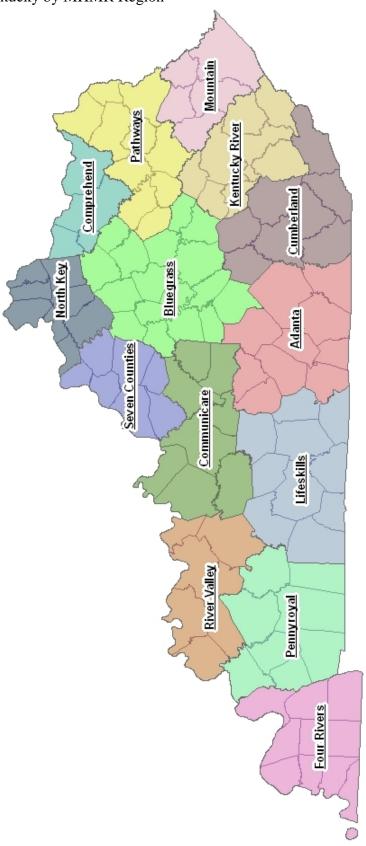
# (7) The substance use is continued despite knowledge of having a persistent or recurrent physical or psychological problem that is likely to have been caused or exacerbated by the substance

Males	Females	Total
10.7%	14.3%	11.4%

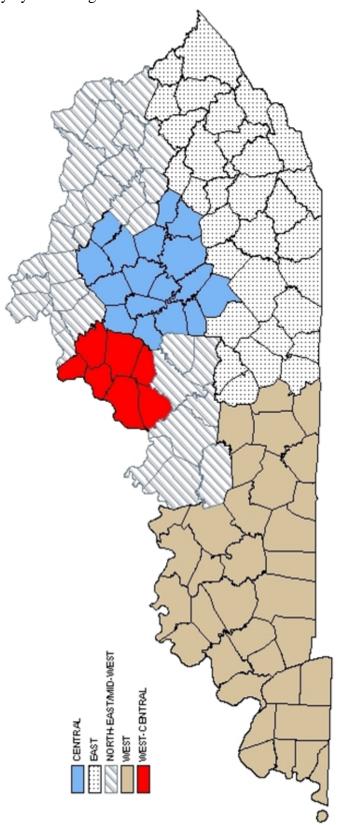
Appendix D: Map of Kentucky by County



Appendix E: Kentucky by MHMR Region



Appendix F: Kentucky by DBH Region



<b>APPENDICES</b>
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